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Substitute for form 1449A/PTO

**(Use as many sheets as necessary)**

**Complete if Known**

Application Number	10/761,622
Filing Date	January 20, 2004
First Named Inventor	John T. Beck
Art Unit	2171
Examiner Name	NYA
Attorney Docket Number	15437-0592

Sheet 1

of 1

[illegible]

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	To
		Country Code <sup>2</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

**Examiner  
Signature**

/Kacy Verdi/

Date  
Considered

06/01/2007

\*EXAMINER: Initial If reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.18 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

***If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.***



The Examiner is hereby notified that the present application is related to the following related application(s):

**DISCLOSURE OF RELATED APPLICATIONS**

U.S. Application/ Pat. No.	File Date	Atty. Docket. No.

The related application(s) may contain subject matter that is related to the subject matter of the present application. The related application(s) may contain one or more claims that may be substantially similar to one or more claims in the present application, and those claims may have been rejected in the related application(s). Therefore, the Examiner is encouraged to review the file history(ies) of the related application(s) as some of the information contained therein may be material to the examination of the present application.



The Examiner is hereby notified that for the following related application(s) an Office Action has been received as indicated below:

**DISCLOSURE OF OFFICE ACTIONS**

U.S. Application/ Pat. No.	File Date	Office Action Mailing Date	Atty. Docket. No.
/K.V./10/762,066	01/20/2004	07/10/2006	15437-0598

The related application(s) may contain one or more claims that may be substantially similar to one or more claims in the present application, and those claims may have been rejected in the related application(s). Therefore, the Examiner is encouraged to review the file history(ies) of the related application(s) as some of the information contained therein may be material to the examination of the present application.

<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b> (PTO-1449)				ATTY. DOCKET NO. 15437-0592		SERIAL NO. 10/761,622	
				APPLICANT: John T. Beck et al			
				FILING DATE: January 20, 2004		GROUP: 2171	
<b>U.S. PATENT DOCUMENTS</b>							
Exam. Initial*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Number	Kind Code <sup>2</sup> (If known)				
/K.V./		2002/0161817	A1	Dorofeev et al.	10-31-2002		
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<b>FOREIGN PATENT DOCUMENTS</b>								
Exam. Initial*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)				
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<b>OTHER ART - NO PATENT LITERATURE DOCUMENTS</b>			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation <sup>2</sup>
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Examiner Signature	/Kacy Verdi/	Date Considered	06/01/2007
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<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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/K.V./		2002/0156824	A1	Armstrong et al.	10-24-2002	

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		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)				
/K.V./		EP	1300766	A	Sun Microsystems Inc.	04-09-2003		

OTHER ART - NO PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation <sup>2</sup>
/K.V./		EUROPEAN PATENT OFFICE, "European Search Report," application No. 04252689.7, mailing date July 28, 2005, 3 pages.	
/K.V./		Current Claims, European patent application 04252689.7, 6 pages.	
/K.V./		SUN MICROSYSTEMS, "Sun Enterprise <sup>TM</sup> 1000 Server: Dynamic System Domains," White Paper Online, February 26, 2003, retrieved from the internet at < <a href="http://www.sun.com/servers/highend/whitepapers/domains.html?facet=-1">http://www.sun.com/servers/highend/whitepapers/domains.html?facet=-1</a> >, retrieved on 06/21/2005, XP-002332946, 7 pages.	

Examiner Signature	/Kacy Verdi/	Date Considered	06/01/2007
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	<b>APPLICANT:</b> John T. Beck, et al.	
	<b>FILING DATE:</b> January 20, 2004	<b>GROUP:</b> 2171

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/K.V./		Official Action from EPO for foreign patent application no. 04 252 690.5 - 2211 dated 10 June 2005 (6 pgs) – attached.	
/K.V./		Current Claims in EPO patent application no. 04 252 690.5 - 2211 (9 pgs) – attached.	

<b>Examiner Signature</b> /Kacy Verdi/	<b>Date Considered</b> 06/01/2007
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				<b>APPLICANT:</b> John T. Beck, et al.			
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Exam. Initial*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Number	Kind Code <sup>2</sup> (If known)				
/K.V./		5,325,526		Cameron, et al.	6/28/1994		
/K.V./		5,845,116		Saito, et al.	12/1/1998		
/K.V./		5,437,032		Wolf, et al.	6/25/1995		

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		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)				
/K.V./		EP	1282038	A2	Tanaka, Katsuyoshi	2/5/2003		
		EP	1043638	A1	Rogier, Pierre	10/17/2000		

<b>OTHER ART - NO PATENT LITERATURE DOCUMENTS</b>			
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/K.V./		European Search Report from the European Patent Office for Foreign Patent Application No. 04252690.5 (3 pgs.)	
		<del>Claims As Filed in European Patent Application No. 04252690.5 (6 pgs.)</del>	

Examiner Signature	/Kacy Verdi/	Date Considered	06/01/2007
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SERIAL NO.  
10/761,622

**FILING DATE:**  
**1/20/2004**

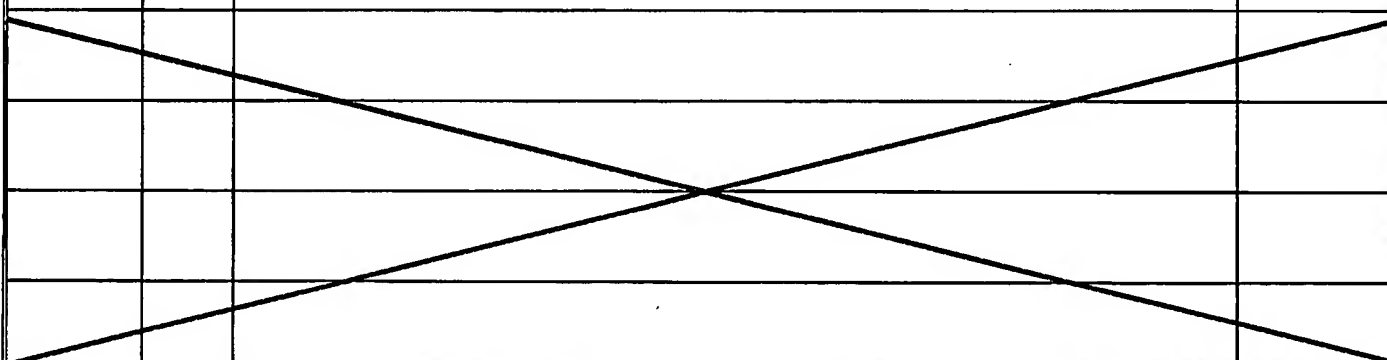
GROUP:  
NYA

[illegible][illegible]

06/01/2007

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Page 1 of 2

<b>Substitute for Form 1449A/PTO (Modified)</b> (use as many sheets as necessary)		<b>Attorney Docket No.:</b> 15437-0592	<b>Application Number:</b> 10/761,622
		<b>First Named Inventor:</b> John T. Beck, et al.	
		<b>Filing Date:</b> 1/20/2004	
<b>OTHER ART – NO PATENT LITERATURE DOCUMENTS</b>			
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation <sup>2</sup>
/K.V./		Communications from the ACM (ISSN: 0001-0782) Volume 44, Issue 2 (2001) entitled "An Operating System Approach to Securing E-Services" by Chris Dalton and Tse Huong Choo, ACM Copyright Notice, © 2001, (8 pgs).	
/K.V./		Sun Microsystems, Inc. entitled "Server Virtualization with Trusted Solaris™ 8 Operating Environment, by Glenn Faden, Sun BluePrints™ OnLine – February 2002, <a href="http://www.sun.com/blueprints">http://www.sun.com/blueprints</a> , (21 pgs).	
/K.V./		Network Working Group entitled "IP Version 6 Addressing Architecture", by R. Hinden, Nokia, S. Deering, Cisco System, dtd July 1998, (28 pgs).	
/K.V./		IBM entitled "Partitioning for the IBM eServer pSeries 690 System, © Copyright IBM Corp. 2001 (12 pgs).	
/K.V./		IBM System Partitioning on IBM eServer xSeries Servers entitled "Effective Server Consolidation and Resource Management with System Partitioning" by Mark T. Chapman, IBM Server Group, dtd December 2001, (23 pgs).	
/K.V./		Virtual Private Servers and Security Contexts, dtd 5/10/2004, <a href="http://www.solucorp.qc.ca/misc/prj/s_content/hc?prstate=1&amp;nodoc=0">http://www.solucorp.qc.ca/misc/prj/s_content/hc?prstate=1&amp;nodoc=0</a> , (2 pgs).	
/K.V./		SunSoft, a Sun Microsystems, Inc. Business entitled "File System Administration", © 1994 Sun Microsystems, Inc., (62 pgs).	
			

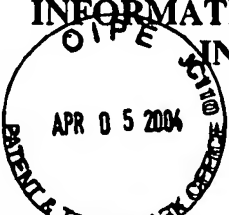
<b>Examiner Signature</b>	/Kacy Verdi/	<b>Date Considered</b>	06/01/2007
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	FILING DATE: January 20, 2004	GROUP: NYA

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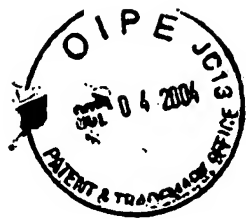
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/K.V./		POUL-HENNING KAMP, et al., "Jails: Confining the omnipotent root", 2 <sup>nd</sup> Intl System Administration and Networking Conference Proceedings "SANE 2000", May 22-25, 2000, Maastricht, The Netherlands	

Examiner Signature	/Kacy Verdi/	Date Considered	06/01/2007
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IFW

Attorney Docket No. 15437-0592

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

John T. Beck, et al.

Serial No.: 10/761,622

Filed on: January 20, 2004

) Confirmation No.: 3546  
)  
) Examiner: NYA  
)  
) Group Art Unit No.: 2171  
)

For: TWO-LEVEL SERVICE MODEL IN OPERATING SYSTEM PARTITIONS

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

DISCLOSURE PURSUANT TO 37 CFR §1.56  
OF RELATED APPLICATION(S)

Sir:

Applicant(s) would like to bring to the Examiner's attention that the following applications,

U.S. Application/ Pat. No.	File Date	Atty. Docket. No.
<del>10/833,474</del>	<del>4/27/2004</del>	<del>15437-0582</del>
<del>10/767,118</del>	<del>1/28/2004</del>	<del>15437-0583</del>
<del>10/767,117</del>	<del>1/28/2004</del>	<del>15437-0584</del>
<del>10/744,360</del>	<del>12/22/2003</del>	<del>15437-0588</del>
<del>10/767,003</del>	<del>1/28/2004</del>	<del>15437-0593</del>
<del>10/762,067</del>	<del>1/20/2004</del>	<del>15437-0597</del>
<del>10/762,066</del>	<del>1/20/2004</del>	<del>15437-0598</del>
<del>10/767,235</del>	<del>1/28/2004</del>	<del>15437-0599</del>
<del>10/771,827</del>	<del>2/3/2004</del>	<del>15437-0600</del>
<del>10/771,698</del>	<del>2/3/2004</del>	<del>15437-0601</del>
<del>10/768,303</del>	<del>1/29/2004</del>	<del>15437-0602</del>

Referred to as the "related applications", contain subject matter that is related to the subject matter of the present application. The related applications may contain one or more claims that are substantially similar to one or more claims in the present application, and those claims may



Attorney Docket No. 15437-0592

IFW

UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

John T. Beck, et al.  
Serial No.: 10/761,622  
Filed on: January 20, 2004

) Confirmation No.: 3546  
)  
) Examiner: NYA  
)  
) Group Art Unit No.: 2171  
)

For: TWO-LEVEL SERVICE MODEL IN  
OPERATING SYSTEM PARTITIONS

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

DISCLOSURE PURSUANT TO 37 CFR §1.56  
OF RELATED APPLICATION(S)

Sir:

Applicant(s) would like to bring to the Examiner's attention that the following  
application,

Applicant(s) would like to bring to the Examiner's attention that the following  
applications,

~~U.S. Patent Application Serial No. 10/763,147 filed January 21, 2004,~~

~~U.S. Patent Application Serial No. 10/766,094 filed January 27, 2004,~~

~~U.S. Patent Application Serial No. 10/769,415 filed January 30, 2004.~~

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matter of the present application. The related applications may contain one or more claims that  
are substantially similar to one or more claims in the present application, and those claims may  
have been rejected in the related applications. Therefore, the Examiner is encouraged to review

/Kacy Verdi/

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